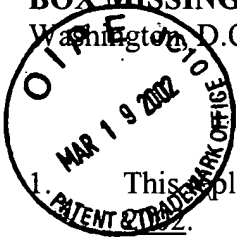


**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
	)	
Masakazu OGASAWARA, et al.	)	
	)	
Application No.: 10/022,843	)	Group Art Unit: 2651
	)	
Filed: December 20, 2001	)	Examiner: Unassigned

For: **OPTICAL PICKUP APPARATUS AND FOCUSING CONTROL METHOD**

Commissioner of Patents  
**BOX MISSING PARTS**  
Washington, D.C. 20231



**RESPONSE TO NOTICE TO FILE MISSING PARTS**

This applies to the Notice to File Missing Parts of Nonprovisional Application mailed January 22,

A copy of the Notice To File Missing Parts Of Nonprovisional Application is enclosed.

2. Declaration Or Oath

- ☒ No declaration or oath was filed. Enclosed is the original Combined Declaration and Power of Attorney.
- ☐ The specification attached to the declaration is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.
- ☐ The declaration or oath which was filed was determined to be defective. A new original Combined Declaration and Power of Attorney is attached.

3. English Translation of Non-English Language Papers

- ☐ Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

Also enclosed is the Verification of Translation Document.

4. Small Entity Status

- ☐ Small entity status under 37 C.F.R. § 1.27 is asserted for the present application.

## 5. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136(a) apply.

- ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a), for the total number of months checked below:

Total months requested	Fee for extension	[fee for Small Entity]
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 400.00	\$200.00
<input type="checkbox"/> three months	\$ 920.00	\$460.00
<input type="checkbox"/> four months	\$1,440.00	\$720.00
<input type="checkbox"/> five months	\$1,960.00	\$980.00

Extension of time fee due with this request: \$\_\_\_\_\_

If an additional extension of time is required, please consider this a Petition therefor.

- ☒ Applicant believes that no extension of time is required. However, in the event applicant has inadvertently overlooked the need for a petition and fee for extension of time, applicant hereby petitions for such an extension and requests that any fee therefor be charged to our Deposit Account No. 50-0310.

## 6. Assignment

- ☒ An assignment of the invention to Pioneer Corporation and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.

## 7. Fee Calculation

					Fee Code
Basic Fee				\$740.00	101/201
	Number Filed	Number Extra	at a Rate of (Lg./Sm.Entity)		
Total Claims	22 - 20 =	2	\$18/\$9	\$ 36.00	103/203
Independent Claims	3 - 3 =	0	\$84/\$42	\$	101/202
Multiple dependent claim(s), if any			\$280/\$140	\$	104/204
SUB-TOTAL =				\$776.00	
Late Filing Surcharge Fee (37 C.F.R. § 1.16(e))			\$130/\$65	\$130.00	105/205
Extension Fee (37 C.F.R. § 1.17(a)(1)-(5))		\$110/\$55 (1 Month)		\$	115/215
		\$400/\$200 (2 Months)			116/216
		\$920/\$460 (3 Months)			117/217
		\$1440/\$720 (4 Months)			118/218
		\$1960/\$980 (5 Months)			128/228
SUB-TOTAL =				\$906.00	
Fee For Application Filed With A Non-English Specification (37 C.F.R. § 1.17(i) and § 1.52(d))			\$130.00	\$	139
Assignment Recordation Fee			\$ 40.00	\$40.00	581
TOTAL FILING FEE =				\$946.00	

8. Fee Payment

- ☐ Enclosed is a check in the amount of \$\_ representing the total fee due.
- ☒ The Commissioner is hereby authorized to charge Deposit Account No. 50-0310 in the amount of \$946.00.
- ☒ **Except** for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

9. Additional papers enclosed.

- ☐ Preliminary Amendment
- ☒ Submission of Formal Drawings with twenty-one (21) sheets of drawings containing twenty-three (23) figures
- ☒ Claim for Priority
- ☒ Certified copy of priority document(s): Japanese Patent Application Nos. 2000-388541 and 2001-27301
- ☒ Assignment Recordation Cover Sheet
- ☒ Assignment
- ☐ Information Disclosure Statement
- ☐ PTO Form 1449 with one (1) reference
- ☐ Other: \_\_\_\_\_

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**

  
 \_\_\_\_\_  
 John G. Smith, Reg. No. 33,818

Date: March 19, 2002

**CUSTOMER NO. 09629**  
**MORGAN, LEWIS & BOCKIUS LLP**  
 1111 Pennsylvania Avenue, N.W.  
 Washington, D.C. 20004  
 Telephone: (202) 739-3000  
 Facsimile: (202) 739-3001

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/022,843	12/20/2001	Masakazu Ogasawara	041514-5166

CONFIRMATION NO. 1452

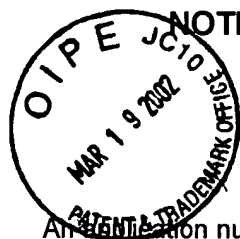
009629  
MORGAN LEWIS & BOCKIUS LLP  
1111 PENNSYLVANIA AVENUE, N.W.  
WASHINGTON, DC 20004

## FORMALITIES LETTER



\*OC000000007338543\*

Date Mailed: 01/22/2002



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$36.  
■ \$36 for 2 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 906.

A copy of this notice **MUST** be returned with the reply.

*Request Woldeyes*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10022843  
03/21/2002 HWRZ11 00000108 500310  
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02 FC:103 36.00 CH  
03 FC:105 130.00 CH

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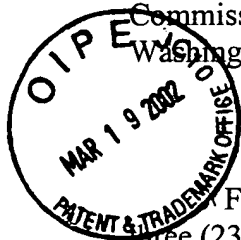
PATENT  
ATTORNEY DOCKET NO. 041514-5166

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
Masakazu OGASAWARA, et al. )  
Application No.: 10/022,843 ) Group Art Unit: 2651  
Filed: December 20, 2001 ) Examiner: Unassigned

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Commissioner for Patents  
Washington, D.C. 20231



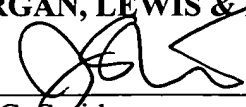
**SUBMISSION OF FORMAL DRAWINGS**

Filed herewith are twenty-one (21) sheets of formal patent drawings containing twenty-three (23) figures. It is requested that these drawings be approved and made part of the official record in the above-identified patent application.

If there are any fees due in connection with the filing of these drawings, please charge the fees to our Deposit Account No. 50-0310. If a fee is required for an extension of time under 37 C.F.R. 1.136 not accounted for above, such an extension is requested and the fee should also be charged to our Deposit Account.

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**

  
\_\_\_\_\_  
John G. Smith  
Reg. No. 33,818

Dated: March 19, 2002

Customer No. 009629  
**MORGAN, LEWIS AND BOCKIUS LLP**  
1111 Pennsylvania Avenue, N.W.  
WASHINGTON, D.C. 20004  
(202) 739-3000